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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of

Hironori KOBAYASHI et al.

Serial No.: 09/882,409

Group Art Unit: 1756

Filed: June 15, 2001

Examiner: John McPherson

For: STRUCTURE FOR PATTERN FORMATION, METHOD FOR PATTERN FORMATION,
AND APPLICATION THEREOF

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

[X] Each item of information contained in the Information Disclosure Statement filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

OR

[] No item of information in the Information Disclosure Statement filed, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

December 23, 2003

Date

Charles A. Wendel

Charles A. Wendel

Registration No. 24,453

Attorney Docket No.: YMTA:002A

Attachment: Information Disclosure Statement

PARKHURST & WENDEL, L.L.P.

1421 Prince Street, Suite 210

Alexandria, Virginia 22314-2805

Telephone: (703) 739-0220

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PARKHURST & WENDEL

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P. O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

Pursuant to 37 C.F.R. §1.56, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached Form PTO-1449. Copies of each of the references listed on Form PTO-1449 are attached.

Also enclosed are copies of Search Reports issued on related corresponding European Patent Applications all bearing a mailing date of November 13, 2003, and the USPTO is directed thereto for a concise statement of possible relevance of the references cited therein.

The above information is presented so that the Patent and Trademark Office may, in the first instance, determine any

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materiality thereof to the claimed invention. See 37 C.F.R. 1.104(a) and 1.106(b) concerning the PTO duty to consider and use any such information. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that these references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Respectfully submitted,

PARKHURST & WENDEL, L.L.P.



Charles A. Wendel
Registration No. 24,453

October 23, 2003

Date

CAW/ch

Attorney Docket No.: YMTA:002A

PARKHURST & WENDEL, L.L.P.
1421 Prince Street, Suite 210
Alexandria, Virginia 22314-2805
Telephone: (703) 739-0220

(rev. 4/96)

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FORM PTO 1449 (modified)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICELIST OF REFERENCES CITED BY APPLICANT(S)
(Use several sheets if necessary)ATTY DOCKET NO.
YMTA:002ASERIAL NO.
09/882,409APPLICANT
Hironori KOBAYASHI et al.FILING DATE
June 15, 2001GROUP
1756

DEC 23 2003

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	5,498,506	3/12/96	Wengenroth et al.	430	270	
	5,612,170	3/18/97	Takemura et al.	430	270	
	5,235,463	8/10/93	Broussoux et al.	359	642	
	5,498,444	3/12/96	Hayes	427	162	
	2,739,889	3/27/56	Neugebauer			

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION NO YES
	1 329 589	9/12/73	Great Britain			
	WO 96/20433	7/4/96	WIPO			
	0 655 647 A1	5/31/95	Europe			
	0 665 449 A1	8/2/95	Europe			

OTHER DOCUMENT(S) (Including Author, Title, Date, Pertinent Pages, Etc.)

Database WPI, Section EI, Week 199716, Derwent Publications Ltd., London, Class U11, AN 1997-169581 XP 002164291 & JP 09 033910 A (Toshiba)
Feb. 7, 1997 Abstract

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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